



**Simulation of  
GOES-R ABI aerosol  
radiances using  
WRF-CMAQ:**

S. A. Christopher

# Simulation of GOES-R ABI aerosol radiances using WRF-CMAQ: a case study approach

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Mississippi, Alabama, Georgia, and Florida. These fires were agricultural (Mississippi and Alabama) and wildfires (near the boundary of Georgia and Florida).

### 3 Modeling approach

The WRF, CMAQ, and SBDART models in this study are at 12 km spatial resolution. For comparison purposes, we will show the model simulations at 19:00 UTC, at or near the MODIS Aqua overpass time.

#### 3.1 WRF-SMOKE-CMAQ

The modeling system in this study consists of three primary models: WRF, SMOKE, and CMAQ, which is similar to the case study during the Georgia and Florida fires in 2007 (Yang et al., 2011). The domain has 140 by 149 grid cells with a horizontal resolution of 12 km. The Rapid Update Cycle (RUC) analysis data are used as an input to WRF. Table 1 describes the model configurations used in this study.

#### 3.2 SBDART

SBDART is designed for the analysis of a wide variety of radiative transfer problems encountered in satellite remote sensing. It is based on a collection of highly developed and reliable physical models, which have been developed by the atmospheric science community over the past few decades (Ricchiazzi et al., 1998). The radiative transfer equation is numerically integrated with DISORT, where the discrete ordinate method provides a numerically stable algorithm to solve the equations of plane-parallel radiative transfer in a vertically inhomogeneous atmosphere (Stamnes et al., 1988). The intensity of both scattered and thermally emitted radiation can be computed at different heights and directions (Ricchiazzi et al., 1998).

SBDART requires several user-defined input files. For this study, we provide cloud and atmospheric conditions from WRF; aerosol loadings from CMAQ; aerosol diameter

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the measured surface PM<sub>2.5</sub> quite well. The model performance for PM<sub>2.5</sub> is consistent with the previous studies using the WRF-CMAQ and Eta-CMAQ over the eastern United States (Yu et al., 2012).

## 4.2 GOES-R reflectance

5 SBDART computes upward radiance and downward irradiance at the top of atmosphere (TOA). We convert them to reflectance for visualization (values from 0 to 1) and for interpretation purposes. Reflectance is defined as the ratio of the radiant flux reflected by a medium to that incident upon it. Therefore, spectral reflectance at TOA is given by

$$10 \quad R_{\lambda} = (\pi \cdot I_{\lambda}) / (F_{\lambda} \cdot \cos \theta)$$

where  $R_{\lambda}$ ,  $I_{\lambda}$ , and  $F_{\lambda}$  are spectral reflectance (unitless), spectral radiance ( $\text{W m}^{-2} \mu\text{m}^{-1} \text{sr}^{-1}$ ), and spectral irradiance of the sun ( $\text{W m}^{-2} \mu\text{m}^{-1}$ ) at TOA, respectively. The quantity  $(\pi \cdot I_{\lambda})$  represents total upward radiant flux and  $\theta$  denotes the solar zenith angle ( $^{\circ}$ ). Since the ABI filters reduce the apparent scene radiance and solar flux, reflectance should be unfiltered to produce the reflectance measured by GOES-R ABI at TOA. The unfiltered ABI spectral reflectance is computed as

$$15 \quad R\lambda = \frac{\pi \cdot \int_{\lambda_1}^{\lambda_2} S\lambda I\lambda d\lambda}{\cos \theta \cdot \int_{\lambda_1}^{\lambda_2} S\lambda F\lambda d\lambda},$$

where  $S_{\lambda}$  is the spectral response of each ABI band.  $\lambda_1$  and  $\lambda_2$  are the cutoff wavelengths beyond which filter response is assumed zero. We set the cutoff wavelengths at  $\lambda_0 \pm 0.5 \cdot \text{FWHM}$ , where  $\lambda_0$  and FWHM are the central wavelength and full width at half maximum. The spectral response is assumed as Gaussian Boxcar Hybrid (GBH), where the top of the curve is flattened out (data available at ftp://ftp.ssec.wisc.edu/ABI/SRF). However, the actual instrument response function should be determined after the launch of GOES-R.

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true color imageries because of the difference in viewing geometry between MODIS and GOES-R.

The cloud, aerosol, land, and ocean are well simulated in these images in Fig. 4 although there is a need for improved cloud representation in dynamical models. The clouds often differ from their positions in the simulated images due to difficulty in simulating the observed clouds from WRF. The model does not reproduce the small scale convective clouds during summer, especially on 8 July 2010 which is a topic for further investigation. The smoke aerosols on 10 June 2008 (Fig. 1, left) are spread more evenly along the mid-Atlantic coast (Fig. 4, left). This also happens to the plumes from the wildfires in the southern Georgia simulations on 25 March 2011, where plumes at two separate locations (Fig. 1, right) are viewed as one combined plume in the simulation (Fig. 4, right). The simulated haze on 8 July 2010 is seen in the eastern states (Fig. 4, middle), where the haze in Illinois, Indiana, and Kentucky was not apparent because of cloud in Fig. 1 (middle). It is shown that the simulations can capture the ocean color in the coastline of the Bahamas and around Key West. The model simulations are at 12 km spatial resolution where the surface consists of different land use types such as water, soil, trees, grasses, and so on. Thus, the simulated land surface scene may represent the mixture of different land use.

## 5 Synthetic RGB imagery

The GOES-R ABI does not contain a green band. However, because the green band is essential to produce RGB true-color imagery, we develop and demonstrate a technique to derive the green band reflectance from other ABI bands. This section starts by showing spectral signatures of various features at the ABI visible and near-IR bands and then shows how the reflectance in the green band can be calculated by using the red and blue bands, keeping in mind that this is only an approximation.

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a result, it is possible to investigate the spectral reflectance relationships in complex scenes. We introduce five distinctive classes in the model domain, which are classified as land, ocean, aerosol, cloud, and “coastline”. The aerosol and cloud are further separated into two classes in Table 2. For example, aerosols over land and ocean show different spectral behavior because coarse mode particles (dominated by sea salt) increase over ocean. Similarly, liquid cloud is distinguished from ice cloud due to the differences in the imaginary part of their refractive index. Figure 6 shows the ice cloud can be discriminated from the liquid cloud using the visible ( $0.55\ \mu\text{m}$  or  $0.64\ \mu\text{m}$ ), and near-IR ( $1.38\ \mu\text{m}$  or  $1.61\ \mu\text{m}$ ) (e.g., Schmidt et al., 2005; Baum et al., 2005).

This study focuses on synthesizing the green band reflectance as a function of reflectance at visible and near-IR bands. Figure 6 shows the relationships between the green and other band reflectance for each class. As expected, the classes show complex spectral responses of reflectance. Surprisingly, strong linear relationships are found between  $R_{0.47\ \mu\text{m}}$  and  $R_{0.55\ \mu\text{m}}$  (top left in Fig. 6) and between  $R_{0.64\ \mu\text{m}}$  and  $R_{0.55\ \mu\text{m}}$  (top middle in Fig. 6) for all classes specified in Table 2, where  $R_{0.47\ \mu\text{m}}$ ,  $R_{0.55\ \mu\text{m}}$ , and  $R_{0.64\ \mu\text{m}}$  are reflectance in the blue, green, and red bands, respectively. The high correlation between  $R_{0.47\ \mu\text{m}}$  and  $R_{0.55\ \mu\text{m}}$  and between  $R_{0.64\ \mu\text{m}}$  and  $R_{0.55\ \mu\text{m}}$  indicates that the blue, green, and red bands contain redundant spectral information for certain classes. We expect that  $R_{0.555\ \mu\text{m}}$  in a pixel can be predicted (or synthesized) by  $R_{0.475\ \mu\text{m}}$  and  $R_{0.645\ \mu\text{m}}$ .

### 5.3 RGB imagery

A linear relationship between  $R_{0.555\ \mu\text{m}}$  and  $R_{0.645\ \mu\text{m}}$  (and between  $R_{0.555\ \mu\text{m}}$  and  $R_{0.475\ \mu\text{m}}$ ) is not sufficient enough to derive  $R_{0.555\ \mu\text{m}}$  from  $R_{0.645\ \mu\text{m}}$  because the linear relationship differs from one class to another. Therefore, it is necessary to classify each scene before applying the linear relationship although scene classification could be a challenging problem. Alternatively, it is noted in Fig. 6 that green band reflectance can be approximated by one or two linear combinations of blue and red band reflectance for all classes.

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The green band reflectance can be expressed as a simple relation,  $G_{\text{syn}} = w_B \cdot B + w_R \cdot R$ , where  $G_{\text{syn}}$ ,  $B$ , and  $R$  are the synthesized green, simulated red, and simulated blue band reflectance, respectively. The coefficients,  $w_B$  and  $w_R$ , give the weights of blue and red band reflectance in determining green band reflectance. However,  $w_B$  and  $w_R$  differ for different classes, but  $w_B$  and  $w_R$  have a range within which the synthesized green band reflectance is close to the simulated one for all classes. Figure 7 shows the difference between the actual (simulated) and synthesized green band reflectance, where the synthesized reflectance is derived by  $G_{\text{syn}} = w_B \cdot B + w_R \cdot R$  with varying  $w_B$  and  $w_R$ . Since the minima of reflectance difference occur over a range, not at a point, in  $w_B$  and  $w_R$  space, we can select an optimal weight pair whose reflectance difference is close to minimum for all classes.

After searching for optimal  $w_B$  and  $w_R$  pairs for three different days, we chose two  $w_B$  and  $w_R$  pairs such that green band reflectance is well approximated (synthesized) by the relations,

$$G_{\text{syn}} = 0.4 \cdot B + 0.6 \cdot R \quad \text{for land, ocean, aerosol, and cloud}$$

$$G_{\text{syn}} = 0.6 \cdot B + 0.6 \cdot R \quad \text{for coastline}$$

Figure 8 shows that the synthesized ( $G_{\text{syn}}$ ) and simulated green band reflectance ( $G$ ) are almost the same except for coastline. The relatively large uncertainty in  $G_{\text{syn}}$  for coastline was also recognized in the LUT method (Hillger et al., 2011; Miller et al., 2012). The synthesized green band reflectance differs from the simulated by up to about 0.01 for land, ocean, aerosol, and cloud and by 0.01–0.03 for coastline.

The synthetic RGB imagery using the synthetic green band reflectance is shown in Fig. 9. The synthetic RGB imagery appears almost identical to the simulated one with subtle biases. The ocean and cloud in the synthesized RGB imagery (Fig. 8) are slightly brighter (less than 0.01 in reflectance) than those in the simulated RGB imagery (Fig. 4).

We introduce an approach to synthesize RGB imagery while there is a missing green band in GOES-R ABI. This approach uses the synthesized green band re-

flectance that is derived by a simple relation of the red and blue band reflectance, i.e.,  $G_{\text{syn}} = 0.4 \cdot B + 0.6 \cdot R$ . Since the coastline occurs at fixed locations, we can apply the same linear equation and then add  $0.2 \cot B$  to the pre-defined coastline to synthesize the green band reflectance for coastline. The correction factor for coastline,  $0.2 \cot B$ , can be obtained from the MODIS 16 day albedo product that provides the atmospherically corrected, cloud-cleared reflectances (Schaaf et al., 2002). It is also possible to apply the equation,  $G_{\text{syn}} = 0.4 \cot B + 0.6 \cot R$ , to all classes although this simplest approach may cause a little more bias in coastline. The difference is probably negligible considering the reflectance difference for coastline in Fig. 7 at  $w_B = 0.4$  and  $0.6$ . The approach shown in this study is attractive for operational purposes because it produces RGB imagery well, needs only simple calculations, and does not need a database for LUTs.

## 6 Summary and discussion

The GOES-R ABI visible and near-infrared reflectance are simulated using WRF, SMOKE, CMAQ, and SBDART models for cases of high aerosol loadings with haze and smoke over the eastern United States. The simulations reproduce the state of meteorological fields, background emissions, and chemical transport of air pollutants. To represent more realistic scenarios, satellite-derived biomass burning emissions are also included as an input to CMAQ. The simulated RGB imagery appears realistic in various aerosol scenarios. We classify the model scenes by seven classes based on their spectral signatures at the 12 km spatial resolution. The green band reflectance is synthesized from red and blue bands. The resulting synthesized RGB images appear almost identical to the model-simulated ones.

This study examines the use of air quality modeling to simulate spectral signatures from various scenes. We show that the model-based spectral signatures provide a simple way to select relevant and to deselect irrelevant spectral information from multi-spectral data. As an exercise, we synthesize true color imagery which perhaps ap-

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peals both to professional and inexperienced users of GOES-R products. We suggest that the green band reflectance is synthesized by a simple relationship such as  $G_{\text{syn}} = 0.4 \cot B + 0.6 \cot R$ , although the relationship can be further improved with more case studies.

## 5 Appendix

### Calculation of spectral extinction from CMAQ outputs

From CMAQ outputs, we have the aerosol mass concentrations in  $\mu\text{g m}^{-3}$  of aerosol species for Aitken, accumulation and coarse modes.

1. Convert mass concentrations of CMAQ aerosol species to volume in  $\text{m}^3 \text{m}^{-3}$ .
2. Sum the volume over all species for Aitken, accumulation and coarse modes. This is possible since all species in each mode are assumed to have the same size distribution.
3. Calculate the geometric mean diameter ( $D_g$ ) and geometric mean standard deviation ( $\sigma_g$ ) using the Eqs. (5a, b) of Binkowski and Roselle (2003). The standard deviation for coarse mode is constant at 2.2 (CMAQ source code assumption).
4. Evaluate the optical coefficients, including the effects of hygroscopic growth, since we have the wet state refractive index ( $m = n - ik$ ) of each OPAC aerosol mode (inso, soot, waso, etc.). The relative humidity is obtained from the WRF outputs (water vapor mixing ratio, pressure, and air temperature). In order to get the wet radius (or diameter), we can use the fact that only the mean diameter changes and the growth factor is universal as a function of RH. Therefore, we can write  $D(\text{wet}) = g(\text{RH}) \cdot D(\text{dry})$ , where the growth factor,  $g(\text{RH})$ , can be determined by the ratios of the wet diameters to the dry diameters at RH = 0, 50, 70, 80, 90, 95, 98, and 99 in % (see OPAC optdat for water soluble, sulfate, and sea salt).

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5.  $Q_{\text{ext}}$ , the Mie extinction efficiency factor, is a function of  $\alpha$  and index of refraction of the particles. It is calculated from the Evans and Fournier approximation (Evans and Fournier, 1990) for each CMAQ species.
6. The aerosol extinction coefficient  $b_{\text{sp}}$  ( $\text{km}^{-1}$ ) must be calculated from ambient aerosol characteristics as index of refraction ( $m = n - ik$ ), volume concentration and size distribution; at wavelength  $\lambda$ ,  $b_{\text{sp}}$  ( $\text{km}^{-1}$ ) may be expressed as (Binkowski and Roselle, 2003):

$$\beta_{\text{sp}} = \frac{3\pi}{2\lambda} \int_{-\infty}^{\infty} \frac{Q_{\text{ext}}}{\alpha} \frac{dV}{d\ln\alpha} d\ln\alpha$$

The particle distribution is given in a lognormal form as

$$\frac{dV}{d\ln\alpha} = V_T \left(\frac{A}{\pi}\right)^{1/2} \exp\left[-A\ln^2\left(\frac{\alpha}{\alpha_v}\right)\right]$$

where  $\alpha = \frac{\pi D}{\lambda}$ ,  $\alpha_v = \frac{\pi D_g}{\lambda}$ , and  $A = \frac{1}{2\ln^2\sigma_g}$ .  $V_T$  is the total particle volume concentration, and  $Q_{\text{ext}}$ , the Mie extinction efficiency factor as mentioned above.

If the water uptake effect is included, i.e., ambient environments are considered, the above equation becomes,

$$\begin{aligned} \beta_{\text{sp}} &= \frac{3\pi}{2\lambda} \int_{-\infty}^{\infty} \frac{Q_{\text{ext, amb}}}{\alpha_{\text{amb}}} \frac{dV_{\text{amb}}}{d\ln\alpha_{\text{amb}}} d\ln\alpha_{\text{amb}} = \frac{3\pi}{2\lambda} \int_{-\infty}^{\infty} \frac{Q_{\text{ext, amb}}}{\alpha_{\text{dry}}} \cdot g(\text{RH})^2 \cdot \frac{dV_{\text{dry}}}{d\ln\alpha_{\text{dry}}} d\ln\alpha_{\text{dry}} \\ &= \frac{3\pi}{2\lambda} \cdot g(\text{RH})^2 \cdot V_{\text{dry}} \cdot \left(\frac{1}{2\pi\ln^2\sigma_g}\right)^{(1/2)} \cdot \int_0^{\infty} \frac{Q_{\text{ext, amb}}}{\alpha^2} \cdot \exp\left[-\frac{1}{2} \cdot \frac{\ln^2\left(\frac{\alpha}{\alpha_v}\right)}{\ln^2\sigma_g}\right] \cdot d\alpha \end{aligned}$$

where

$$\alpha_{\text{amb}} = g(RH) \cdot \alpha_{\text{dry}}$$

$$\begin{aligned} \frac{dV_{\text{amb}}}{d \ln \alpha_{\text{amb}}} &= V_{\text{amb}} \left( \frac{A}{\pi} \right)^{1/2} \exp \left[ -A \ln^2 \left( \frac{\alpha_{\text{amb}}}{\alpha_{v, \text{amb}}} \right) \right] \\ &= \left\{ V_{\text{dry}} g(RH)^3 \right\} \left( \frac{A}{\pi} \right)^{1/2} \exp \left[ -A \ln^2 \left( \frac{\alpha_{\text{dry}}}{\alpha_{v, \text{dry}}} \right) \right] = g(RH)^3 \frac{dV_{\text{dry}}}{d \ln \alpha_{\text{dry}}} \end{aligned}$$

$$d \ln \alpha_{\text{amb}} = \frac{d \alpha_{\text{amb}}}{\alpha_{\text{amb}}} = \frac{g(RH) \cdot d \alpha_{\text{dry}}}{g(RH) \cdot \alpha_{\text{dry}}} = \frac{d \alpha_{\text{dry}}}{\alpha_{\text{dry}}} = d \ln \alpha_{\text{dry}}$$

$$d \ln \alpha = \frac{d \alpha}{\alpha} \quad \text{and} \quad \begin{cases} \alpha \rightarrow 0 \text{ as } \ln \alpha \rightarrow -\infty \\ \alpha \rightarrow \infty \text{ as } \ln \alpha \rightarrow +\infty \end{cases}$$

7. We now sum over all species to get aerosol extinction in each vertical layer. Single scattering albedo and asymmetric parameter are assumed for each vertical layer.

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**Table 1.** Inputs and configurations.

	WRF	SMOKE	CMAQ
Horizontal	12 km × 12 km	12 km × 12 km	12 km × 12 km
Vertical	21 layers	1 and 15 <sup>a</sup> layers	21 layers
Input	RUC	NEI 2002	WRF output SMOKE emissions Fire emissions CB-IV (gas-phase) AE4 (aerosol) AQ (aqueous/cloud)
Option	Kain-Fritsch (cu.) WSM-6 class (cloud) RRTM/Dudhia (rad.) YSU (PBL) Noah (land sfc)		

<sup>a</sup> Point emission sources.



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**Table 2.** Classification criteria.

Class	Classification Criteria
Land	LWP < 0.001, FWP < 0.001, AOT < 0.02, and mask = land
Ocean	LWP < 0.001, FWP < 0.001, AOT < 0.02, and mask = ocean
Aerosol over land	LWP < 0.001, FWP < 0.001, AOT > 0.15, and mask = land
Aerosol over ocean	LWP < 0.001, FWP < 0.001, AOT > 0.15, and mask = ocean
Cloud water	LWP > 200, FWP < 0.001, and AOT < 0.02
Cloud ice	LWP < 0.001, FWP > 800, and AOT < 0.02
Coastline	LWP < 0.001, FWP < 0.001, AOT < 0.02, and mask = coastline

LWP (Liquid Water Path) in  $\text{g m}^{-2}$  from WRF.  
 FWP (Frozen Water Path) in  $\text{g m}^{-2}$  from WRF.  
 AOT (Aerosol Optical Thickness) from CMAQ.

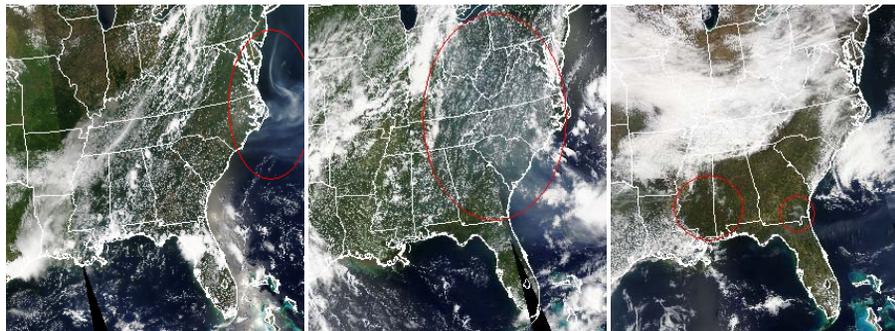


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## Simulation of GOES-R ABI aerosol radiances using WRF-CMAQ:

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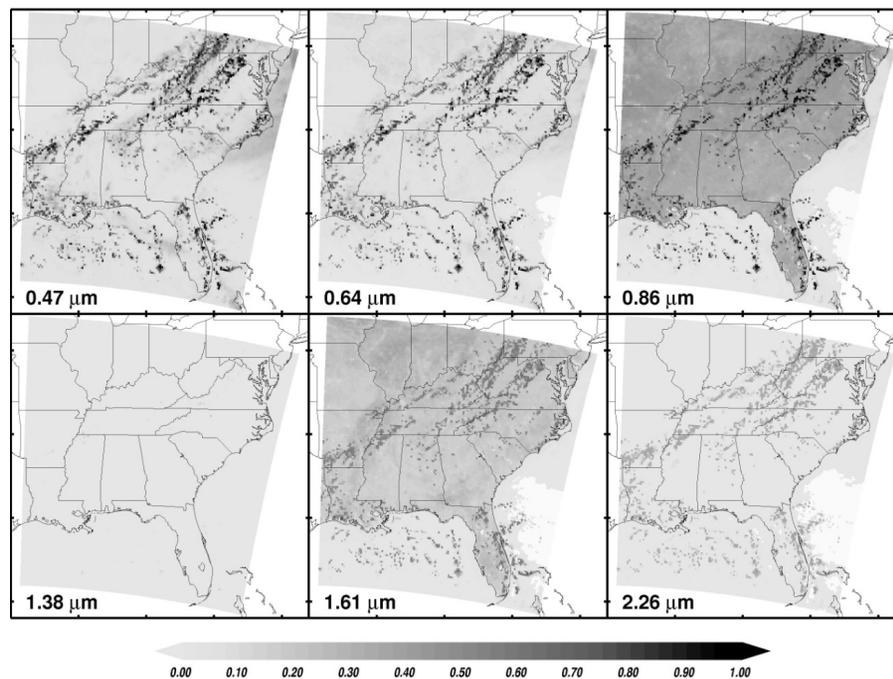
**Fig. 1.** MODIS Aqua imagery shows smoke from the Evans Road fire in North Carolina on 10 June 2008 (left), haze in Ohio Valley and Southeast on 8 July 2010 (middle), and agricultural fires and wildfires in Louisiana, Mississippi, Alabama, Georgia, and Florida on 25 March 2011 (right). The areas covered by plumes are shown as the red ovals. The imagery is available from <http://www.star.nesdis.noaa.gov>.

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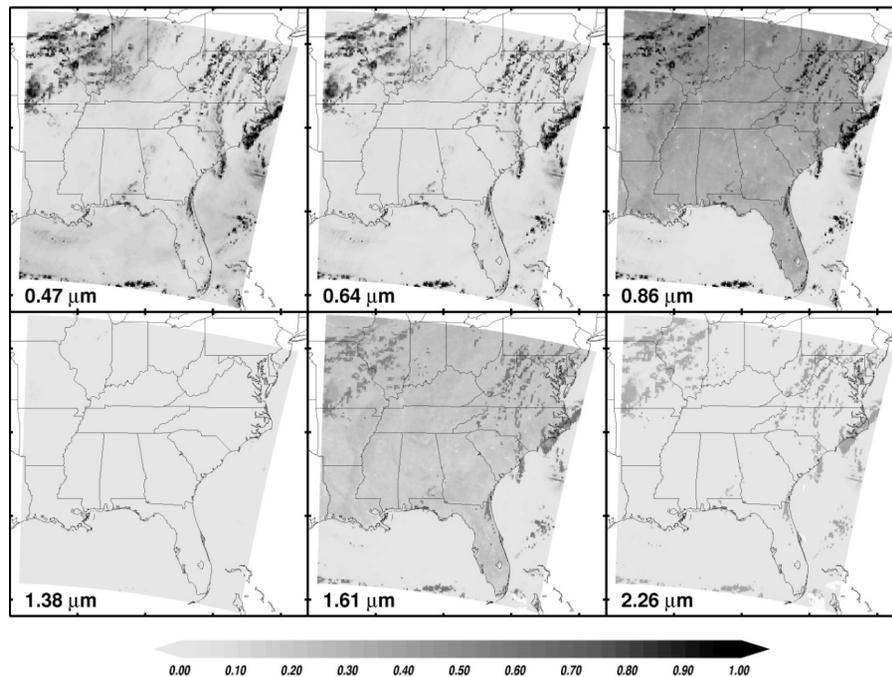


**Fig. 3a.** Model-simulated ABI reflectance for visible (0.47 and 0.64  $\mu\text{m}$ ), near-IR (0.87, 1.38, 1.61, and 2.25  $\mu\text{m}$ ) bands on 10 June 2008. Note the reflectance range is all from zero to one.

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**Fig. 3b.** Same as in Fig. 3a, but on 8 July 2010.

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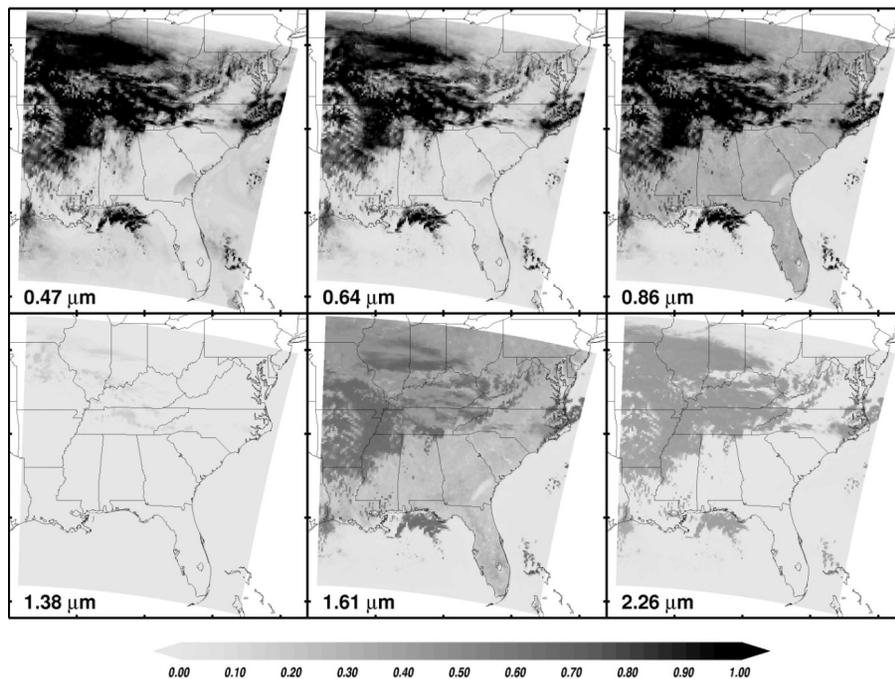
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**Fig. 3c.** Same as in Fig. 3a, but on 25 March 2011.

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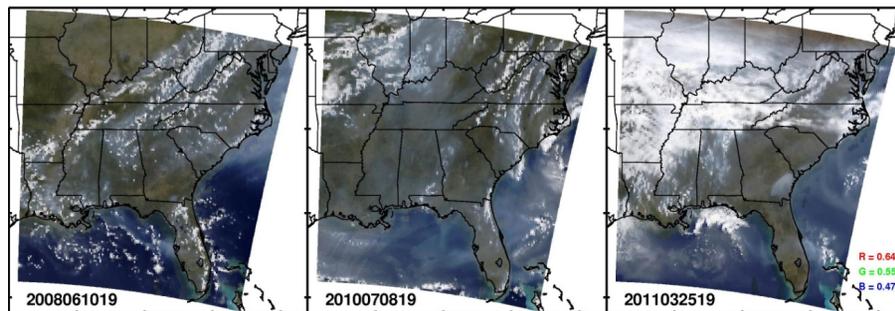
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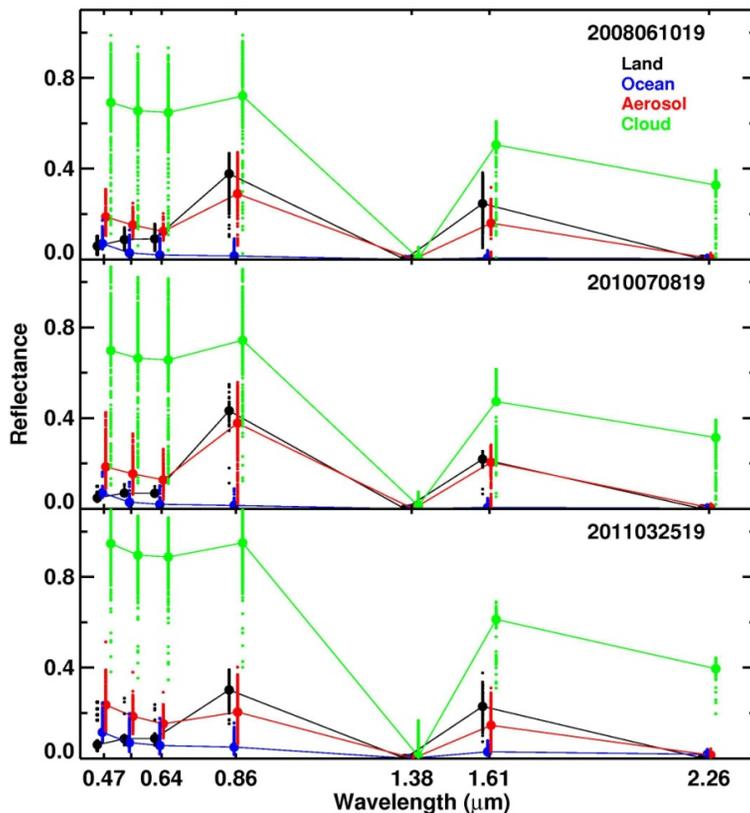


**Fig. 4.** The simulated RGB imagery viewed from GOES-R on 10 June 2008 (left), 8 July 2010 (middle), and 25 March 2011 (right). The images are enhanced using the image enhancement algorithm of the MODIS rapid response system.

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**Fig. 5.** Spectral signatures of land, ocean, aerosol, and cloud for 10 June 2008 (top), 8 July 2010 (middle), and 25 March 2011 (bottom). The spectral signatures for the imaginary green band are also shown between the blue and red bands.

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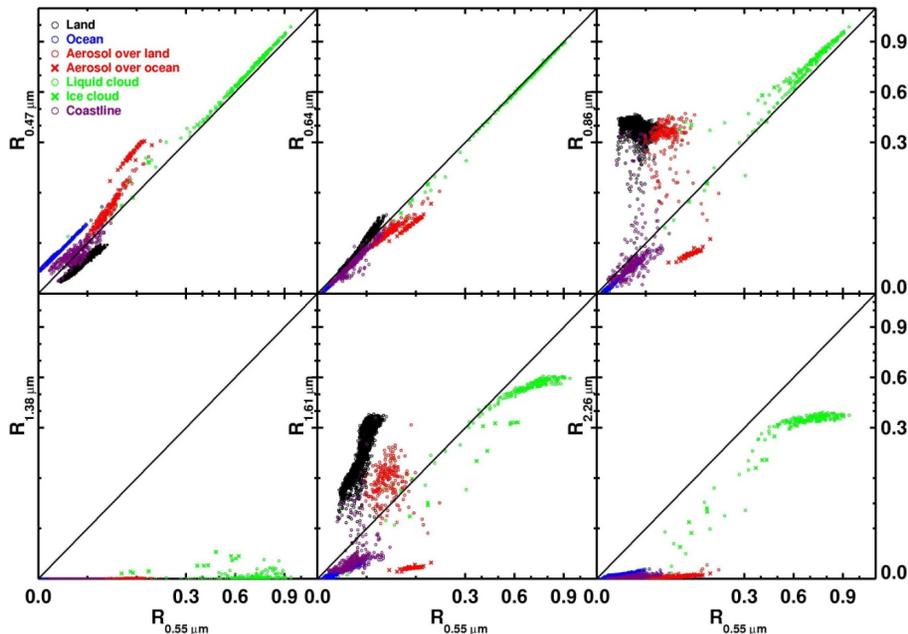
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**Fig. 6a.** Plot of reflectance at the visible and near-IR bands against reflectance at the green band at 19:00 UTC, 10 June 2008. The Spectral signatures are shown for land, ocean, aerosol (over land and ocean), cloud (water and ice), and coastline. Note that the reflectance interval of 0.0 to 0.3 is enlarged to show more details of signatures from land, ocean, aerosol, and coastline.

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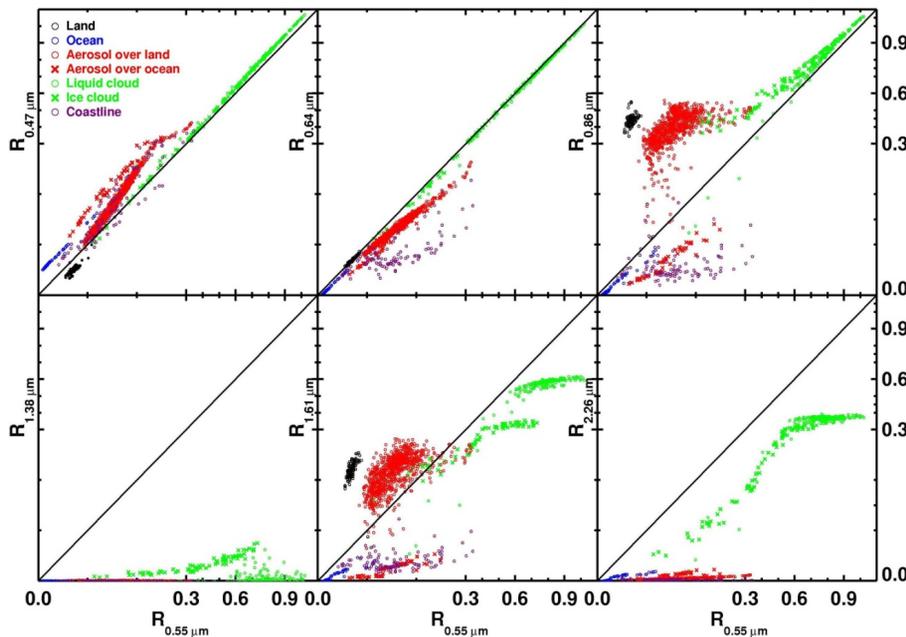


Fig. 6b. Same as in Fig. 6a, but on 8 July 2010.

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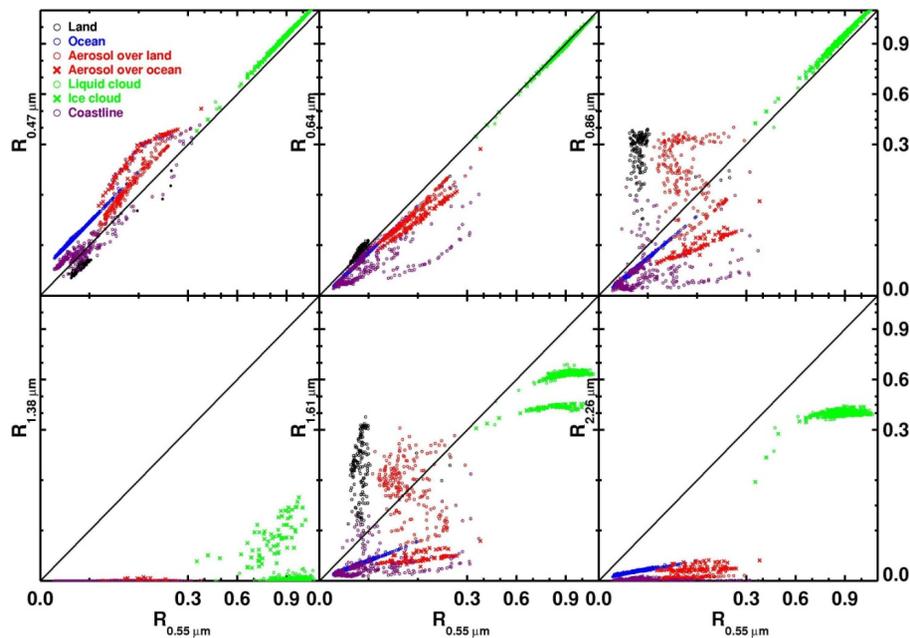
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**Fig. 6c.** Same as in Fig. 6a, but on 25 March 2011.

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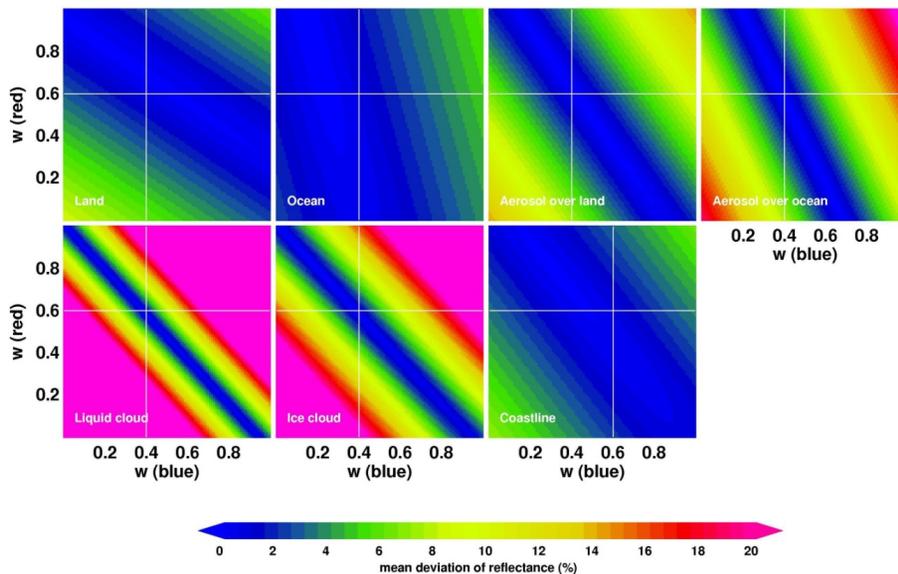
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**Fig. 7a.** Mean difference between the synthesized and simulated green band reflectance with varying  $w_B$  and  $w_R$  for land, ocean, aerosol (over land and ocean), cloud (liquid and ice), and coastline for 10 June 2008. The unit is reflectance in percent. The point at  $w_B = 0.4$  and  $w_R = 0.6$  is shown for land, ocean, aerosol, and cloud. The point at  $w_B = 0.6$  and  $w_R = 0.6$  is also shown for coastline.

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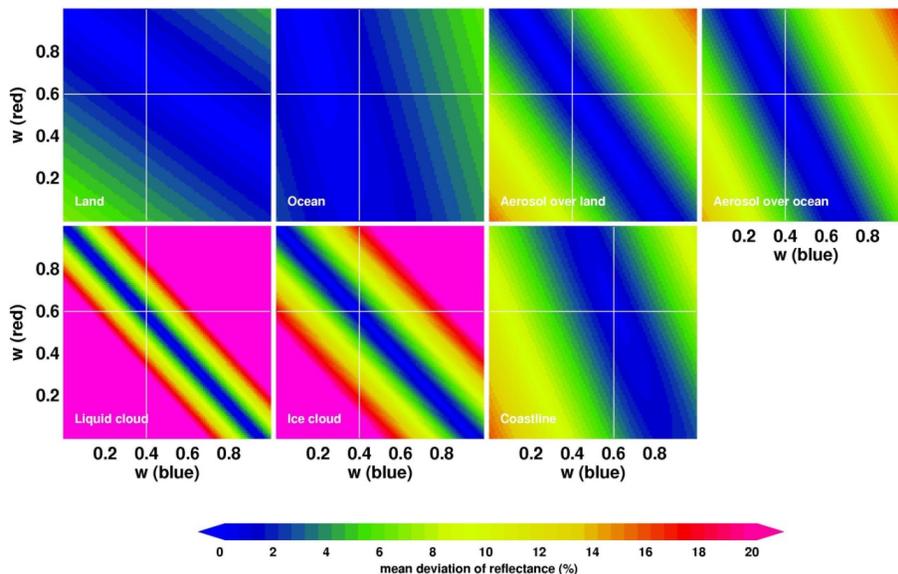


Fig. 7b. Same as in Fig. 7a, but for 8 July 2010.

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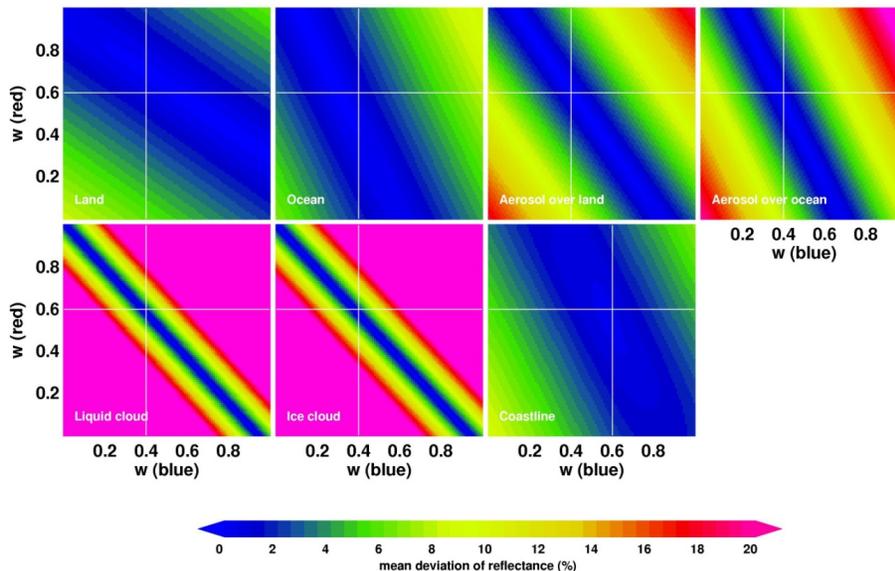
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**Fig. 7c.** Same as in Fig. 7a, but for 25 March 2011.

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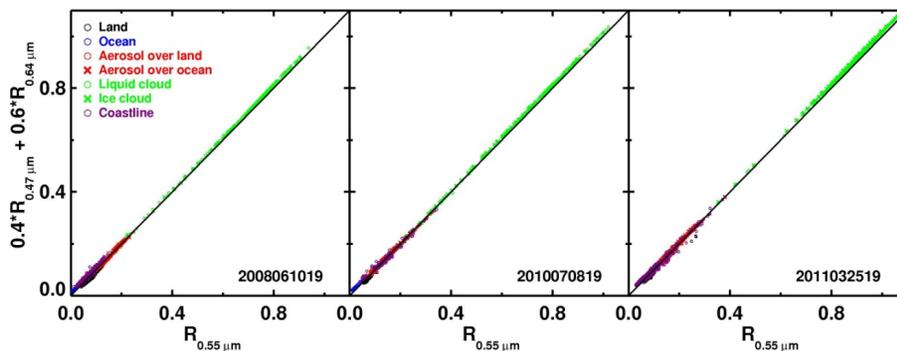
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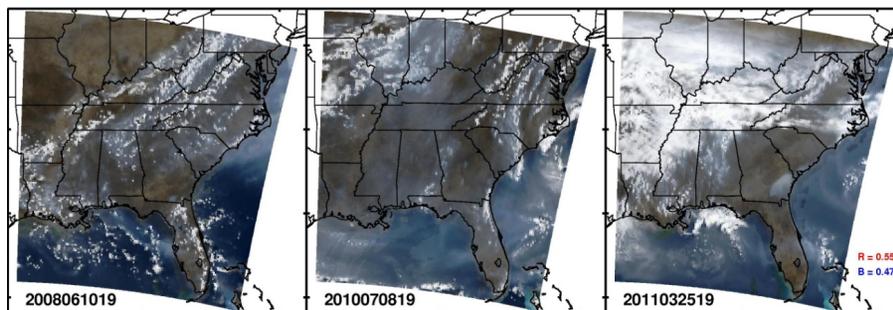
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**Fig. 8.** Comparison of the synthesized and simulated green band reflectance, where the synthesized green band reflectance is derived from the blue and red band reflectance. The coastline are produced with a different blue-red combination such as  $G_{\text{syn}} = 0.6 \cdot B + 0.6 \cdot R$ .

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**Fig. 9.** Synthetic RGB imagery at 19:00 UTC, 10 June 2008 (left), 8 July 2010 (middle), and 25 March 2011 (right). The green band reflectance is synthesized from the simulated blue and red band reflectance using the relations,  $G_{\text{syn}} = 0.4 \cdot B + 0.6 \cdot R$  for land, ocean, aerosol, and cloud, and  $G_{\text{syn}} = 0.6 \cdot B + 0.6 \cdot R$  for coastline.

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